•	Please type a plus sign (+) inside this box Under the Paperwork Reduction Act of 1995, no	O; → <u>+</u>	RIGINA	J.S. Patent and Trademark	PTO/SB/21 (08-00) d for use through 10/31/2002. OMB 0651-0031 c Office: U.S. DEPARTMENT OF COMMERCE mation unless it displays a valid OMB control number.				
-				cation Number	10/080,041				
ſ	TRANSMITTAL	•	Filing	Date	February 19, 2002				
	FORM		First Named Inventor		Akiba, Yutaka				
1	OIPE (to be used all correspondence after in	nitial filing)	Group	Art Unit					
			Exam	iner Name					
, ţ	Total Number of Pages in This Submission		Attorr	ey Docket Number	16869N-044100US				
8	9	ENCL	OSURES	(check all that apply)					
~			ment Pa		After Allowance Communication to Group				
	Fee Attached	☐ Drawin	g(s)		Appeal Communication to Board of Appeals and Interferences				
	Amendment / Response	Licensi	ng-relate	ed Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
	After Final			g Slip (PTO/SB/69) ying Petition	Proprietary Information				
	Affidavits/declaration(s)	_	to Conv onal App		Status Letter				
	Extension of Time Request			ey, Revocation respondence Address	Other Enclosure(s) (please identify below):				
	Express Abandonment Request		al Discla	imer	Declaration/Power of Attorney				
		Reques	st for Re	fund	2. Copy Notice				
	Information Disclosure Statement	CD. Nu	CD, Number of CD(s)		3. Supplemental ADS				
				,	4. Return Postcard				
	Certified Copy of Priority Document(s)	Remai	Remarks The Commissioner is a Deposit Account 20-14		authorized to charge any additional fees to 30.				
	Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated March 21, 2002, the enclosures listed on this sheet are to be made of record in the above-identified case.							
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
	Firm Townsend and Tow	vnsend and (Crew LLF						
	and Robert C. Colwell Reg. No. 27,431								

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name

Signature

May 21, 2002

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Reg. No. 27,431

Reg. No. 27,431

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

May 21, 2002

Typed or printed name

Margaret K. Stephan

Margares

Signature

- X Stiple

Date May 21, 2002

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PA 3223657 v1

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CCC TOANGMITTAL	<u> </u>	Complete II Known							
FÉE TRANSMITTAL	Applic	Application Number 10/080,041							
6^{(γε}) for FY 2001	Filing	Filing Date			10/080,041 February 19, 2002 Akiba, Yutaka 16869N-044100US				
[S]	First N	First Named Inventor		Akiba, Yutaka					
JUN 0 3 2002 Pate tees are subject to annual revision.	Exami	Examiner Name		14					
<u> </u>		Group Art Unit			FILA				
TOTAL AMOUNT OF PAYMENT (\$) 170	Attorn	Attorney Docket No.			16869N-044100US				
METHOD OF PAYMENT				FEF C	ALCULATION (continued)				
The Commissioner is hereby authorized to charge	3. ADI	3. ADDITIONAL FEES							
1. indicated fees and credit any over payments to:		Large Entity Small Entity							
Decesit	Fee	Fee	Fee	Fee	Fee Description	Fee			
Deposit Account 20-1430	Code	(\$)	Code	(\$)	·	Paid			
Number	105 127	130 50	205	65 25	Surcharge - late filing fee or oath	130			
Baccai d	'2'	30	227	23	Surcharge - late provisional filing fee or cover sheet.				
Deposit Account Townsend and Townsend and Crew LLP	139	130	139	130	Non-English specification				
Name	147	2,520	147	2,520	For filing a request for reexamination				
Charge Any Additional Fee Required	112	920*	112	920*	Requesting publication of SIR prior to				
Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27	113	1,840*	113	1,840*	Examiner action Requesting publication of SIR after Examiner action				
2. Payment Enclosed:	115	110	215	55	Extension for reply within first month	\vdash			
_ ·	116	400	216	200	Extension for reply within second				
☐ Check ☐ Credit card ☐ Money ☐ Other Order					month				
FEE CALCULATION	117	920	217	460	Extension for reply within third month				
1. BASIC FILING FEE	118	1,440	218	720	Extension for reply within fourth month				
Large Entity Small Entity	128	1,960	228	980	Extension for reply within fifth month	\vdash			
Fee Fee Fee Fee Description	119	320	219	160	Notice of Appeal				
Code (\$) Code (\$) Fee Paid	120	320	220	160	Filing a brief in support of an appeal				
101 740 201 370 Utility filing fee	121	280	221	140	Request for oral hearing				
106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding				
108 740 208 370 Reissue filing fee	140	110	240	55	Petition to revive – unavoidable				
114 160 214 80 Provisional filing fee	141	1,280	241	640	Petition to revive – unintentional				
SUBTOTAL (1) (\$)	142	1,280	242	640	Utility issue fee (or reissue)				
SUBTOTAL (1) (\$)	143	460	243	230	Design issue fee				
2. EXTRA CLAIM FEES	144	620	244	310	Plant issue fee				
Extra Fee from Fee	122	130	122	130	Petitions to the Commissioner	 			
Total Claims below Paid -20** = X Paid X Paid	123	50	123	50	Petitions related to provisional applications Submission of Information Disclosure				
ndependent Claims = X = X	126	180	126	180	Stmt				
Multiple X = =	581	40	581	40	Recording each patent assignment per property (times number of properties)	40			
Large Entity Small Entity Fee Fee Fee Fee Fee Fee Personnian	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))				
Code (\$) Code (\$) Fee Description 103 18 203 9 Claims in excess of 20	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))				
102 84 202 42 Independent claims in excess of 3	179	740	279	370 1	Request for Continued Examination (RCE)				
104 280 204 140 Multiple dependent claim, if not paid ** Reissue independent claims over	169	900	169		Request for expedited examination				
109 84 209 42 original patent		of a design application							
110 18 210 9 Over original patent	- 1	Other fee (specify) The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.							
SUBTOTAL (2) (\$)	the abo								
**************************************	*Reduc	ed by Bas	sic Filing	ree Pa	id SUBTOTAL (3) (\$)170				
**or number previously paid, if greater; For Reissues, see above	<u> </u>								

SUBMITTED BY		Complete (if applicable)				
Name (Print/Type)	Robert C. Colwell	Registration No. (Attorney/Agent)	27,431	Telephone	650-326-2400	
Signature	(When C	- Columble	Date	May 21, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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APPLICATION NUMBER

JUN 0 3 200

FILING/RECEIPT DATE

TES PATENT AND TRADEMARK OFFICE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/080,041

02/19/2002

Yutaka Akiba

16869N-044100US

CONFIRMATION NO. 8600

FORMALITIES LETTER

20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 03/21/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

M-NAILE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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